

中国国际贸易促进委员会商事认证中心

贸促认证〔2021〕804号

关于做好 RCEP 项下原产地实施工作的 通知

各有关签证机构：

根据商务部通告，《区域全面经济伙伴关系协定》（以下简称“RCEP”）将自 2022 年 1 月 1 日起正式实施。按照 RCEP 和《中华人民共和国海关〈区域全面经济伙伴关系协定〉项下进出口货物原产地管理办法》（以下简称《办法》），中国贸促会及其地方机构将全面参与签发 RCEP 项下原产地证书和背对背原产地证书。

在完成签证信息国际备案、签证系统开发等准备工作基础上，贸促系统定于 2022 年 1 月 1 日起启动 RCEP 项下原产地证书和背对背原产地证书签发工作。现将有关事项通知如下。

一、充分认识做好 RCEP 项下原产地实施工作的重要意义。

RCEP 是全球人口最多、经贸规模最大、最具发展潜力的自贸协定，是我国对外开放的里程碑、构建新发展格局的有

力支撑。签发 RCEP 项下原产地证书和背对背原产地证书，并参与自贸区实施效果调研与评估，对贸促会全面履行原产地签证职能、提升贸促系统社会影响力、更好地发挥服务企业和政府的作用具有重大意义。

二、认真做好实施相关重点工作。

(一) 高标准做好签证工作，保障证书签发质量。

1. 已授权开展优惠原产地业务的签证机构具体承担本地区 RCEP 项下原产地证书和背对背原产地证书的签发工作。

2. 各有关签证机构和签证人员应严格按照 RCEP 原产地规则、《办法》和《海关总署关于〈区域全面经济伙伴关系协定〉实施相关事项的公告》（2021 年第 106 号，以下简称《公告》）的相关要求签发证书。

签证人员应特别注意 RCEP 项下货物原产资格和原产国的区别，在判定货物具备原产资格后还需进一步判定其协定项下原产国，从而适用进口成员方对其原产国实施的 RCEP 协定税率。（RCEP 项下原产国的判定流程见附件）

3. 我中心将动态跟踪抽查 RCEP 项下原产地证书和背对背原产地证书的签发质量，对违规签证的人员和机构给予相应管理措施。

(二) 认真做好宣传推广，提升服务影响力。

自 2022 年 1 月 1 日起，贸促会 RCEP 项下原产地证书和背对背原产地证书的签发目的国包括文莱、柬埔寨、老挝、

新加坡、泰国、越南、日本、新西兰和澳大利亚，后续证书签发目的国发生变化的，我中心将另行通知。

贸促会 RCEP 项下输新加坡、泰国、日本、新西兰和澳大利亚的原产地证书和背对背原产地证书采用全面电子化签发，提供证书自主打印服务；输文莱、老挝、越南、柬埔寨的原产地证书暂不接受电子签章，需在证书上手动签字和盖章。

贸促会 RCEP 项下原产地证书、背对背原产地证书签发服务将通过贸促会网上签证系 <http://qiye.ccpiteco.net> 免费向广大企业提供。同时，申请人也可通过已实现与中国贸促会原产地网上签证系统数据对接的第三方平台进行申报（相关信息请参考官方网址：<http://co.ccpit.org> 发布内容）。

各有关签证机构应参照我中心统一制作的宣传材料和口径，结合本地区实际情况，开展宣传推广工作，及时发布证书申领要求和相关工作信息，认真解答企业咨询，指导企业顺利申办和使用证书。如遇证书在国外海关使用受阻的，应及时将情况报告我中心，我中心将协调海关总署予以沟通解决。

特此通知。

- 附件：1. RCEP 项下原产国的判定
2. 贸促会 RCEP 项下原产地证书和背对背原产地证书样式

中国贸促会商事认证中心

2021 年 12 月 20 日



(联系人：张笑茹、宁培 电话：010-82217029/7078)

附件 1

RCEP 项下原产国的判定

根据《办法》第二章“原产地规则”第十四条至第十六条的规定，货物在出口成员方获得 RCEP 原产资格后，按照以下顺序判定货物的协定项下原产国。

（一）如果货物属于《特别货物清单》，则应判断货物在出口成员方的生产是否符合“出口成员方价值成分不低于百分之二十”的要求。如果符合，货物的协定项下原产国就应当为出口成员方。

（二）如果货物不属于《特别货物清单》，那么分为三种情况来判定货物的协定项下原产国。

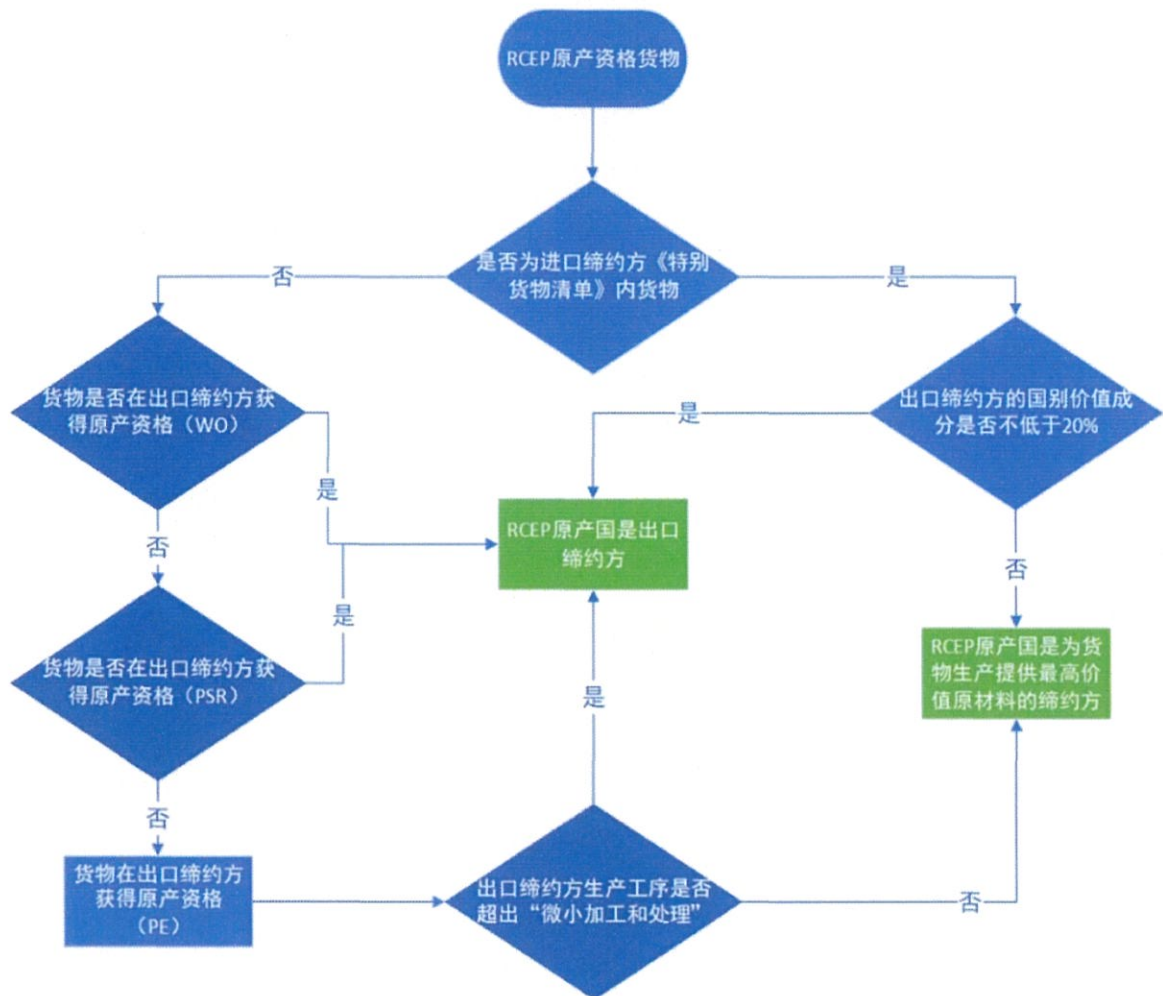
1. 如果货物是在出口成员方完全获得或者生产的货物，则货物的协定项下原产国是出口成员方。

2. 如果货物是在出口成员方使用了非原产材料生产并符合“产品特定原产地规则”规定的实质性改变，则该货物的协定项下原产国是出口成员方。

3. 如果货物在出口成员方完全使用原产材料生产，则需要进一步判断货物的生产是否超出《办法》第五条所列微小加工或处理的情况。如果是，则该货物的协定项下原产国是出口成员方。

（三）如果货物不属于上述情况，那么货物的协定项下原产国应认定为该货物在出口成员方生产中提供最高价值

原产材料的成员方。





RCEP 项下原产国判定流程图

附件 2

贸促会 RCEP 项下原产地证书格式

Original

| | | | | | | | |
|---|----------------------------------|---|---|--|----------------------------|--|--|
| 1. Goods Consigned from (Exporter's name, address and country) | | | | Serial No. : _____ Certificate No. : _____ Form RCEP  | | | |
| 2. Goods Consigned to (Importer's/ Consignee's name, address, country) | | | |  <p>REGIONAL COMPREHENSIVE ECONOMIC PARTNERSHIP AGREEMENT CERTIFICATE OF ORIGIN</p> | | | |
| 3. Producer's name, address and country (if known) | | | | THE PEOPLE'S REPUBLIC OF CHINA Issued in _____ (Country) | | | |
| 4. Means of transport and route (if known) Departure Date: _____ Vessel's name/Aircraft flight number, etc.: _____ Port of Discharge: _____ | | | | 5. For Official Use Preferential Treatment: <input type="checkbox"/> Given <input type="checkbox"/> Not Given (Please state reason/s) _____ ----- Signature of Authorised Signatory of the Customs Authority of the Importing Country | | | |
| 6. Item number | 7. Marks and numbers on packages | 8. Number and kind of packages; and description of goods. | 9. HS Code of the goods (6 digit-level) | 10. Origin Conferring Criterion | 11. RCEP Country of Origin | 12. Quantity (Gross weight or other measurement), and value (FOB) where RVC is applied | 13. Invoice number(s) and date of invoice(s) |
| | | | | | | | |
| 14. Remarks | | | | | | | |
| 15. Declaration by the exporter or producer The undersigned hereby declares that the above details and statements are correct and that the goods covered in this Certificate comply with the requirements specified for these goods in the Regional Comprehensive Economic Partnership Agreement. These goods are exported to: ----- (importing country) ----- Place and date, and signature of authorised signatory | | | | 16. Certification On the basis of control carried out, it is hereby certified that the information herein is correct and that the goods described comply with the origin requirements specified in the Regional Comprehensive Economic Partnership Agreement. ----- Place and date, signature and seal or stamp of Issuing Body | | | |
| 17. <input type="checkbox"/> Back-to-back Certificate of Origin <input type="checkbox"/> Third-party invoicing <input type="checkbox"/> ISSUED RETROACTIVELY | | | | | | | |

OVERLEAF NOTES

1. **CONDITIONS:** To be eligible for the preferential tariff treatment under the Regional Comprehensive Economic Partnership Agreement (the Agreement), goods should:
 - a. fall within a description of goods eligible for concessions in the Importing Party; and
 - b. comply with all relevant provisions of Chapter 3 (Rules of Origin) and if applicable, Article 2.6 (Tariff Differentials) of Chapter 2 of the Agreement.
2. **EXPORTER AND CONSIGNEE/IMPORTER:** Provide details of the exporter of the goods (including name, address and country) and consignee/importer (including name, address, and country) in Box 1 and Box 2, respectively.
3. **PRODUCER:** Provide the details of the producer of the goods (including name, address and country) in Box 3, if known. In case of multiple producers, indicate "SEE BOX 8" in Box 3 and provide the details in Box 8 for each item. If the producer wishes the information to be confidential, it is acceptable to state "CONFIDENTIAL", however, the producer information may be available to the competent authority or authorised body upon request. In case the details of the producer are unknown, it is acceptable to state "NOT AVAILABLE".
4. **DESCRIPTION OF GOODS:** The description of each good in Box 8 should be sufficiently detailed to enable the products to be identified by the customs officer examining them.
5. **HARMONIZED COMMODITY DESCRIPTION AND CODING SYSTEM (HS):** The HS should be at the 6-digit level of the exported product and based on Annex 3A of the Agreement.
6. **ORIGIN CONFERRING CRITERIA:** For the goods that meet the origin conferring criteria, the exporter should indicate in Box 10 of this Form, the origin conferring criteria met, in the manner shown in the following table:

| Origin conferring criteria | | Insert in Box 10 |
|----------------------------|---|------------------|
| (a) | Goods wholly obtained or produced satisfying Article 3.2(a) of Chapter 3 of the Agreement | WO |
| (b) | Goods produced exclusively from originating materials satisfying Article 3.2(b) of Chapter 3 of the Agreement | PE |
| (c) | Goods produced using non-originating materials provided that the goods satisfy the product specific requirements set out in Annex 3A of the Agreement: <ul style="list-style-type: none"> - Change in Tariff Classification - Regional Value Content - Chemical Reaction | CTC RVC CR |
| (d) | Goods comply with Article 3.4 of Chapter 3 of the Agreement | ACU |
| (e) | Goods comply with Article 3.7 of Chapter 3 of the Agreement | DMI |

7. **EACH GOOD CLAIMING PREFERENTIAL TARIFF TREATMENT QUALIFIES IN ITS OWN RIGHT:** It should be noted that all the goods in a consignment qualifies separately in their own right.
8. **RCEP COUNTRY OF ORIGIN:** The RCEP country of origin should be indicated separately for each good in the manner shown in the following table:



| Circumstances | Insert in Box 11 – RCEP country of origin |
|--|---|
| (a) Goods are in Appendix to Annex I of the Importing Party but do not meet the additional requirement specified in the Appendix to Annex I i.e. a Domestic Value Addition of 20% (DV20). | Indicate the name of the Party that contributed the highest value of originating materials used in the production of that good in the exporting Party in accordance with Article 2.6.4. |
| (b) Goods that are not in the Appendix to Annex I of the importing Party, are produced exclusively from originating materials in accordance with Article 3.2(b) of Chapter 3 of the Agreement but are not processed beyond minimal operations set out in Article 2.6.5 of Chapter 2 of the Agreement in the exporting Party. | |
| IN ALL OTHER CIRCUMSTANCES, including | Indicate the name of the exporting Party |
| (c) Goods are in Appendix to Annex I of the importing Party and meet the additional requirement specified in Appendix to Annex I i.e. a Domestic Value Addition of 20% (DV20). | |
| (d) Goods are wholly obtained or produced in accordance with Article 3.2(a) of Chapter 3 of the Agreement | |
| (e) Goods that are not in the Appendix to Annex I of the Importing Party and satisfy the applicable requirements set out in Annex 3A (Product-Specific Rules) in accordance with Article 3.2(c) of Chapter 3 of the Agreement. | |
| (f) Goods that are not in the Appendix to Annex I of the importing Party, are produced exclusively from originating materials in accordance with Article 3.2(b) and are processed beyond minimal operations set out in Article 2.6.5 of Chapter 2 of the Agreement in the exporting Party. | |

Notes: Notwithstanding the above, under paragraph 6 of Article 2.6 of Chapter 2 of the Agreement the importer is allowed to make a claim for preferential tariff treatment at either:



- the highest rate of customs duty the importing Party applies to the same originating good from any of the Parties contributing originating materials used in the production of such good, (Article 2.6.6(a)), or
- the highest rate of customs duty that the importing Party applies to the same originating good from any of the Parties (Article 2.6.6(b)).



When the RCEP country of origin cannot be ascertained, based on the information provided by the exporter/producer and importer, indicate the name of the Party with the highest rate of customs duty followed by " * " if the Article 2.6.6(a) of Chapter 2 of the Agreement is being used or " ** " if the Article 2.6.6(b) of Chapter 2 of the Agreement is being used. For example: Australia * or Indonesia **.

9. **FOB VALUE:** The FOB value in Box 12 only needs to be provided when the Regional Value Content criterion is applied in determining the originating status of goods.
10. **INVOICES:** Indicate the invoice number and date in Box 13. If multiple invoices are used, indicate the invoice number and date for each item. The invoice is the one issued for the importation of the good into the importing Party. In cases where invoices used for the importation are not issued by the exporter or producer, in accordance with Article 3.20 of Chapter 3 of the Agreement, the "Third-party invoicing" box in Box 17 should be ticked (✓), and the name and country of the company issuing the invoice should be provided in Box 14.
11. **BACK-TO-BACK CERTIFICATE OF ORIGIN:** In the case of a back-to back Certificate of Origin issued in accordance with Article 3.19 of Chapter 3 of the Agreement, the "Back-to-back Certificate of Origin" box in Box 17 should be ticked (✓), and the original Proof of Origin reference number, date of issuance, issuing country, RCEP country of origin of the first exporting Party, and, if applicable, approved exporter authorisation code of the first exporting Party should be indicated in Box 14.
12. **ISSUED RETROACTIVELY:** Where a Certificate of Origin is issued retrospectively in accordance with paragraph 8 of Article 3.17 of Chapter 3 of the Agreement, the "ISSUED RETROACTIVELY" box in Box 17 should be ticked (✓).
13. **CERTIFIED TRUE COPY:** Where a certified true copy of the original Certificate of Origin is issued in accordance with paragraph 9 of Article 3.17 of Chapter 3 of the Agreement, the words "CERTIFIED TRUE COPY" and the date of issuance of the certified true copy should be indicated in Box 14.
14. **FOR OFFICIAL USE:** The customs authority of the importing Party may indicate (✓) in the relevant box in Box 5 in accordance with their domestic laws and regulations.
15. **REMARKS:** Box 14 should only be filled out when necessary and contain information including as specified in Paragraphs 10, 11, and 13 of the Overleaf Notes.

| | | | | | | | | |
|---|----------------------------------|---|---|--|---|--|--|--|
| 1. Goods Consigned from (Exporter's name, address and country) | | | | Serial No. : _____ Certificate No. : _____ | | Form RCEP | |  |
| 2. Goods Consigned to (Importer's/ Consignee's name, address, country) | | | |  | REGIONAL COMPREHENSIVE ECONOMIC PARTNERSHIP AGREEMENT CERTIFICATE OF ORIGIN | | | |
| 3. Producer's name, address and country (if known) | | | | | THE PEOPLE'S REPUBLIC OF CHINA Issued in _____ (Country) | | | |
| 4. Means of transport and route (if known) Departure Date: Vessel's name/Aircraft flight number, etc.: Port of Discharge: | | | | | 5. For Official Use Preferential Treatment: <input type="checkbox"/> Given <input type="checkbox"/> Not Given (Please state reason/s) ----- Signature of Authorised Signatory of the Customs Authority of the Importing Country | | | |
| 6. Item number | 7. Marks and numbers on packages | 8. Number and kind of packages; and description of goods. | 9. HS Code of the goods (6 digit-level) | 10. Origin Conferring Criterion | 11. RCEP Country of Origin | 12. Quantity (Gross weight or other measurement), and value (FOB) where RVC is applied | 13. Invoice number(s) and date of invoice(s) | |
| | | | | | | | | |
| 14. Remarks | | | | | | | | |
| 15. Declaration by the exporter or producer The undersigned hereby declares that the above details and statements are correct and that the goods covered in this Certificate comply with the requirements specified for these goods in the Regional Comprehensive Economic Partnership Agreement. These goods are exported to: ----- (importing country) ----- Place and date, and signature of authorised signatory | | | | 16. Certification On the basis of control carried out, it is hereby certified that the information herein is correct and that the goods described comply with the origin requirements specified in the Regional Comprehensive Economic Partnership Agreement. ----- Place and date, signature and seal or stamp of Issuing Body | | | | |
| 17. <input type="checkbox"/> Back-to-back Certificate of Origin <input type="checkbox"/> Third-party invoicing <input type="checkbox"/> ISSUED RETROACTIVELY | | | | | | | | |

贸促会 RCEP 项下背对背原产地证书样式

| | | | | | | | | | |
|---|----------------------------------|---|--|--|---------------------------------|--|--|--|--|
| 1. Goods Consigned from (Exporter's name, address and country) | | | | Serial No. : Certificate No. : | | Form RCEP | |  | |
| 2. Goods Consigned to (Importer's/ Consignee's name, address, country) | | | |  | | REGIONAL COMPREHENSIVE ECONOMIC PARTNERSHIP AGREEMENT CERTIFICATE OF ORIGIN | | | |
| 3. Producer's name, address and country (if known) | | | | | | THE PEOPLE'S REPUBLIC OF CHINA Issued in _____ (Country) | | | |
| 4. Means of transport and route (if known) Departure Date: Vessel's name/Aircraft flight number, etc.: Port of Discharge: | | | | 5. For Official Use Preferential Treatment: <input type="checkbox"/> Given <input type="checkbox"/> Not Given (Please state reason/s) | | | | | |
| Signature of Authorised Signatory of the Customs Authority of the Importing Country | | | | _____ | | | | | |
| 6. Item number | 7. Marks and numbers on packages | 8. Number and kind of packages; and description of goods. | 9. The original Proof of Origin reference number | 10. HS Code of the goods (6 digit-level) | 11. Origin Conferring Criterion | 12. RCEP Country of Origin | 13. Quantity (Gross weight or other measurement), and value (FOB) where RVC is applied | 14. Invoice number(s) and date of invoice(s) | |
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| Place and date, and signature of authorised signatory | | | | Place and date, signature and seal or stamp of Issuing Body | | | | | |
| 18. <input type="checkbox"/> Back-to-back Certificate of Origin <input type="checkbox"/> Third-party invoicing <input type="checkbox"/> ISSUED RETROACTIVELY | | | | | | | | | |

| | | | | | | | | | |
|---|----------------------------------|---|--|---|--|--|--|--|--|
| 1. Goods Consigned from (Exporter's name, address and country) | | | | Serial No. : _____ Certificate No. : _____ | | Form RCEP | |  | |
| 2. Goods Consigned to (Importer's/ Consignee's name, address, country) | | | |  | | REGIONAL COMPREHENSIVE ECONOMIC PARTNERSHIP AGREEMENT CERTIFICATE OF ORIGIN | | | |
| 3. Producer's name, address and country (if known) | | | | | | THE PEOPLE'S REPUBLIC OF CHINA Issued in _____ (Country) | | | |
| 4. Means of transport and route (if known) Departure Date: Vessel's name/Aircraft flight number, etc.: Port of Discharge: | | | | 5. For Official Use Preferential Treatment: <input type="checkbox"/> Given <input type="checkbox"/> Not Given (Please state reason/s) ----- Signature of Authorised Signatory of the Customs Authority of the Importing Country | | | | | |
| 6. Item number | 7. Marks and numbers on packages | 8. Number and kind of packages; and description of goods. | 9. The original Proof of Origin reference number | 10. HS Code of the goods (6 digit-level) | 11. Origin Conferring Criterion | 12. RCEP Country of Origin | 13. Quantity (Gross weight or other measurement), and value (FOB) where RVC is applied | 14. Invoice number(s) and date of invoice(s) | |
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